

Guidelines for Animal Research Involving Two or More Institutions

The University is responsible for all research, teaching and testing using animals conducted by its staff and students, whether the research occurs at the University or elsewhere. The University is also responsible for all research, teaching and testing using animals that takes place within its facilities or using its resources.

University staff and students participate in research collaborations and partnerships all over the world AND the University receives visiting students and scholars from a host of countries and institutions. The following cases illustrate how ethics requirements for animal use should be met in cases where UA initiated animal work is carried out at another institution (Outbound) and where non-UA personnel are involved in UA initiated animal work (Inbound)

Outbound work

1. University staff/students conduct work with animals at another (host) institution that is CCAC GAP-Certified, OR the UA PI is causing the work to be done by contracting or paying for work with animals using grant funds, start-up funds or in-kind contributions at a CCAC GAP-Certified institution.

Required Approval: The UA PI needs UA ACUC approval. The UA ACUC will conduct high level review to verify that the other institution's standards are consistent and its approval will **depend on** the host ACUC approval (which will involve a detailed review of the protocol information). Because both institutions are CCAC GAP-certified, UA ACUC will rely heavily on the host review. The UA PI must attach the host ACUC approval to his/her UA protocol. The animal use will normally be reported by the host institution.

2. University staff/students conduct work with animals or PI is (causing the work to be done) by contracting or paying for work with animals using grant funds, start-up funds or in-kind contributions at another (host) institution or facility that is not CCAC GAP-Certified but meets similar or equivalent standards (e.g. in an institution that: a) holds a United States NIH - PHS assurance of compliance with Public Health Service policy on humane care and use of laboratory animals; b) is an AALAC accredited institution, or; c) is a USDA inspected institution with an approved IACUC protocol review process; OR in UK, EU, Australia, or institutions in other countries that meet international standards such as AAALAC, ICLAS, etc

Required Approval: UA PI needs UA ACUC approval. This is similar to the first case but the UA ACUC application should provide additional contextual information, e.g. links to policies, details on animal user training, detailed SOPs for procedures, etc. The UA PI must attach the host ACUC approval to his/her UA protocol. The UA approval should rely equally on local and host review.

3. University staff/students conduct work with animals OR the UA PI is causing the work to be done by contracting or paying for work with animals using grant funds, start-up funds or in-kind contributions at

another (host) institution or facility that is not CCAC GAP-Certified and does not have equivalent standards (e.g. private laboratory not CCAC GAP-certified, not AALAC accredited, not in EU, UK, etc.).

Required Approval: The UA PI needs UA ACUC approval. The ACUC will need to conduct a detailed review relying on as much information as the PI can provide about the host institution, including its policies, SOPs for procedures and animal care, training, etc. UA approval **should not** rely on host review but the host approval should be attached to the UA PI's AUP, if provided.

Inbound work

4. Staff or students from a CCAC GAP-Certified institution working at UA using animals in collaboration with a UofA faculty member who has an approved AUP at UA.

Required Approval: The guest animal users should communicate with and have ACUC approval from their home institutions, or their home institution should be willing to accept UA approval. The guest animal users must be named on the UA collaborator's AUP and will have to meet UA animal user training requirements.

5. Staff or students from a CCAC GAP-Certified institution using animals in UA facilities without a UA collaborator.

Required Approval: These will be extremely rare cases and must be overseen by the Director of one of the animal support services and/or the University Veterinarian. These guest users must have approval from their home institution and will also require approval from UA ACUC.

6. Individuals from organizations that do not meet CCAC or equivalent requirements will normally not be allowed to conduct animal work independently within University facilities.

**APPROVED by the UNIVERSITY ANIMAL POLICY AND WELFARE COMMITTEE
17 October 2016**

Get **ETHICS** advice before you start your research.
Apply for **ETHICS APPROVAL** via REMO <https://remo.ualberta.ca>

Any and all questions about whether ethics approval is required for a project, how to use the online system, which committee should review your application and how to get your study approved should be directed to the Research Ethics Office.

We are available by email, telephone and in-person to provide information, help and advice.
reoffice@ualberta.ca 780-492-0459 or visit www.reo.ualberta.ca